

| Facts | What does MainStreet Investment Advisors do with your personal information? | |
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| Why? | Financial companies choose how they share your personal information. Federal law gives consumers the right to limit some but not all sharing. Federal law also requires us to tell you how we collect, share, and protect your personal information. Please read this notice carefully to understand what we do. | |
| What? | <p>The types of personal information we collect and share depend on the product or service you have with us. This information can include, among other information:</p> <ul style="list-style-type: none"> <li style="display: inline-block; width: 45%;">– Social Security Number <li style="display: inline-block; width: 45%;">– Risk Tolerance <li style="display: inline-block; width: 45%;">– Customer Names <li style="display: inline-block; width: 45%;">– Transaction History <li style="display: inline-block; width: 45%;">– Assets <li style="display: inline-block; width: 45%;">– Wire Transfer Instructions <li style="display: inline-block; width: 45%;">– Customer Addresses <li style="display: inline-block; width: 45%;">– Account Numbers | |
| How? | All financial companies need to share customers’ personal information to run their everyday business. In the section below, we list some of the reasons financial companies can share their customers’ personal information; the reasons MainStreet Investment Advisors (“MainStreet”) chooses to share; and whether you can limit this sharing. | |
| Reasons we can share Personal Information | Does MAINSTREET Share? | Can you limit this sharing? |
| For our everyday business purposes – such as to process your transactions, maintain your accounts(s), and operate our business. | Yes | No |
| For our marketing purposes – to offer our products and services to you. | Yes | No |
| For joint marketing with other financial companies. | No | No |
| For our affiliates’ everyday business purposes – information about your transactions and experiences. | No | No |
| For our affiliates’ everyday business purposes – information about your creditworthiness. | No | No |
| For our affiliates to market to you. | No | No |
| For non-affiliates to market to you. | No | No |
| Questions? | Call 312.223.0270 or go to www.mainstreetadv.com . | |
| Who we are | | |
| Who is providing this notice? | MainStreet Investment Advisors, LLC | |

| What we do | |
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| How does MainStreet Investment Advisors protect my personal information? | <p>To protect your personal information from unauthorized access and use, we use security measures that comply with federal law. These measures include computer safeguards, secured building access, and secured office files.</p> <p>For more information on how we protect your personal information please contact us at 312.223.0270 or compliance@mainstreetadv.com.</p> <p>MainStreet also maintains policies and procedures that require employees to protect personal, non-public information.</p> |
| How does MainStreet Investment Advisors collect my personal information? | <p>We collect your personal information, for example, when you:</p> <ul style="list-style-type: none"> – Enter into an investment advisory contract – Seek financial advice – Make deposits or withdrawals from your brokerage account – Make a wire transfer or cash movement |
| Why can't I limit all sharing? | <p>Federal law gives you the right to limit only:</p> <ul style="list-style-type: none"> – Sharing for everyday business purposes – information about your creditworthiness – Business partners from using your information to market to you – Sharing for non-affiliates to market to you – State laws and individual companies may give you additional rights to limit sharing |
| Definitions | |
| Affiliates | <p>Companies related by common ownership or control. They can be financial and nonfinancial companies.</p> <ul style="list-style-type: none"> – MainStreet has no affiliates |
| Non-affiliates | <p>Companies not related by common ownership or control; they can be financial and nonfinancial companies.</p> <ul style="list-style-type: none"> – MainStreet does not share with non-affiliates for marketing purposes. |
| Joint Marketing | <p>A formal agreement between non-affiliated financial companies that together market financial products or services to you.</p> <ul style="list-style-type: none"> – MainStreet does not currently jointly market. |
| Other Important Information | |
| <p>MainStreet maintains technical, physical and administrative safeguards. Additionally, when customer information is shared, MainStreet requires third parties to treat and maintain the privacy of customer information with the same degree of diligence and careful attention required by MainStreet.</p> | |